



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US99/11276 <b>(22) International Filing Date:</b> 21 May 1999 (21.05.99)  <b>(30) Priority Data:</b> 60/086,444                      22 May 1998 (22.05.98)                      US  <b>(71) Applicant (for all designated States except US):</b> ELI LILLY AND COMPANY [US/US]; Lilly Corporate Center, Indianapolis, IN 46285 (US).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> TOLLEFSON, Gary, Dennis [US/US]; 9052 Diamond Pointe, Indianapolis, IN 46236 (US).  <b>(74) Agents:</b> PALMBERG, Arleen et al.; Eli Lilly and Company, Lilly Corporate Center, Indianapolis, IN 46285 (US).		<b>(81) Designated States:</b> AE, AL, AM, AU, AZ, BA, BB, BG, BR, BY, CA, CN, CU, CZ, EE, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, RO, RU, SD, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> COMBINATION THERAPY FOR TREATMENT OF REFRACTORY DEPRESSION  <b>(57) Abstract</b> <p>The invention provides methods and compositions for the treatment of depressive states refractory to treatment with traditional anti-depressive therapies alone. These methods and compositions employ a compound having activity as an atypical antipsychotic and a serotonin reuptake inhibitor. This invention also provides methods of providing rapid onset treatments of major depression which employing a compound having activity as an atypical antipsychotic and a serotonin reuptake inhibitor.</p>		

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## COMBINATION THERAPY FOR TREATMENT OF REFRACTORY DEPRESSION

5

The present invention belongs to the fields of pharmacology, medicine and medicinal chemistry, and provides methods and compositions for treating refractory depression or partial responders.

10 Depression in its many variations has recently become much more visible to the general public than it has previously been. It is now recognized as an extremely damaging disorder, and one that afflicts a surprisingly large fraction of the population. Suicide is the most extreme symptom of depression, but millions of people, not quite so drastically afflicted, live in misery and partial or complete uselessness, and afflict their  
15 families as well by their affliction. The introduction of fluoxetine, a serotonin reuptake inhibitor (SRI), was a breakthrough in the treatment of depression, and depressives are now much more likely to be diagnosed and treated than they were only a decade ago.

Depression is often associated with other diseases and conditions, or caused by such other conditions. For example, it is associated with Parkinson's disease;  
20 with HIV infection; with Alzheimer's disease; and with abuse of anabolic steroids. Depression may also be associated with abuse of any substance, or may be associated with behavioral problems resulting from or occurring in combination with head injuries, mental retardation or stroke.

Despite the breakthrough nature of selective serotonin reuptake inhibitors  
25 in the treatment of depression, a number of patients suffering from major depression do not respond, or respond only partially to treatment with SRIs or other traditional modes of treating depression, including the older tricyclics. The present invention addresses this by offering methods of treating patients suffering from refractory depression, or partially responding. Additionally, as there is frequently a significant period of time before  
30 treatment with SRIs can have a therapeutic effect, this invention provides a therapeutic benefit in providing methods of treating depression by a more rapid onset, while attenuating adverse events frequently associated with conventional antidepressant medication.

The invention provides a method for treating a patient suffering from or  
35 susceptible to refractory depression, comprising administering to said patient an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor.

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In addition, this invention provides a method for treating a patient partially responding to treatment for depression, which comprises administering to said patient an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor.

5 This invention provides, further, a method for attenuating adverse events associated with treating a patient suffering from or susceptible to refractory depression which comprises administering to said patient an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor.

10 This invention also provides a method of providing rapid onset treatment of depression to a patient which comprises administering to said patient an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor.

15 The invention also provides a pharmaceutical composition which comprises a first component which is an atypical antipsychotic, and a second component which is a serotonin reuptake inhibitor, the two compounds being present in an amount effective in the treatment of depression refractory to traditional pharmaceutical intervention.

20 By "partial response" is meant an improvement of less than 50% on the HAMD-21 or Montgomery-Asberg Depression Rating Scale, preferably from about 1% to about 49%, more preferably from about 10% to about 49%, most preferably from about 15% to about 49%.

25 The term "attenuating" means decreasing the number, severity or frequency of side effects or adverse events associated with treatment of depression with conventional antidepressant medication, including tucylics and SSRIs, when such products are used at dosages that yield beneficial effects on the symptoms of the disease.

The term "activation" means agitation.

30 The term "sexual dysfunction" means a disturbance or variation in the pattern of human sexual response (excitement phase, plateau phase, orgasmic phase and resolution phase), Masters et al., *Human Sexual Response*, Little Brown and Company, Boston, 1966 and *Human Sexual Inadequacy*, Little Brown and Company, Boston, 1970. Included are disorders related to the erectile response in male mammals and the sexual desire and sexual (both arousal and orgasmic) reflexes in male or female mammals such as decreased libido, erectile dysfunction, retarded ejaculation and anorgasmy.

35 In this document, all temperatures are described in degrees Celsius, and all amounts, ratios of amounts and concentrations are described in weight units unless otherwise stated.

### The Compounds

In the general expressions of the present invention, the first component is a compound which acts as an atypical antipsychotic. The essential feature of an atypical antipsychotic is less acute extrapyramidal symptoms, especially dystonias, associated with therapy as compared to a typical antipsychotic such as haloperidol. Clozapine, the prototypical atypical antipsychotic, differs from the typical antipsychotics with the following characteristics: (1) greater efficacy in the treatment of overall psychopathology in patients with schizophrenia nonresponsive to typical antipsychotics; (2) greater efficacy in the treatment of negative symptoms of schizophrenia; and (3) less frequent and quantitatively smaller increases in serum prolactin concentrations associated with therapy (Beasley, et al., Neuropsychopharmacology, 14(2), 111-123, (1996)). Atypical antipsychotics include, but are not limited to:

Olanzapine, 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine, is a known compound and is described in U.S. Patent No. 5,229,382 as being useful for the treatment of schizophrenia, schizophreniform disorder, acute mania, mild anxiety states, and psychosis. U.S. Patent No. 5,229,382 is herein incorporated by reference in its entirety;

Clozapine, 8-chloro-11-(4-methyl-1-piperazinyl)-5H-dibenzo[b,e][1,4]diazepine, is described in U.S. Patent No. 3,539,573, which is herein incorporated by reference in its entirety. Clinical efficacy in the treatment of schizophrenia is described (Hanes, et al., Psychopharmacol. Bull., 24, 62 (1988));

Risperidone, 3-[2-[4-(6-fluoro-1,2-benzisoxazol-3-yl)piperidino]ethyl]-2-methyl-6,7,8,9-tetrahydro-4H-pyrido[1,2-a]pyrimidin-4-one, and its use in the treatment of psychotic diseases are described in U.S. Patent No. 4,804,663, which is herein incorporated by reference in its entirety;

Sertindole, 1-[2-[4-[5-chloro-1-(4-fluorophenyl)-1H-indol-3-yl]-1-piperidinyl]ethyl]imidazolidin-2-one, is described in U.S. Patent No. 4,710,500. Its use in the treatment of schizophrenia is described in U.S. Patent Nos. 5,112,838 and 5,238,945. U.S. Patent Nos. 4,710,500; 5,112,838; and 5,238,945 are herein incorporated by reference in their entirety;

Quetiapine, 5-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl)-1-piperazinyl]ethoxy]ethanol, and its activity in assays which demonstrate utility in the treatment of schizophrenia are described in U.S. Patent No. 4,879,288, which is herein incorporated by reference in its entirety. Quetiapine is typically administered as its (E)-2-butenedioate (2:1) salt; and

Ziprasidone, 5-[2-[4-(1,2-benzisothiazol-3-yl)-1-piperazinyl]ethyl]-6-chloro-1,3-dihydro-2H-indol-2-one, is typically administered as the hydrochloride

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monohydrate. The compound is described in U.S. Patent Nos. 4,831,031 and 5,312,925. Its activity in assays which demonstrate utility in the treatment of schizophrenia are described in U.S. Patent No. 4,831,031. U.S. Patent Nos. 4,831,031 and 5,312,925 are herein incorporated by reference in their entirety.

5                   Similarly, when the invention is regarded in its broadest sense, the second component compound is a compound which functions as a serotonin reuptake inhibitor. The measurement of a compound's activity in that utility is now a standard pharmacological assay. Wong, et al., Neuropsychopharmacology 8, 337-344 (1993). Many compounds, including those discussed at length above, have such activity, and no  
10                   doubt many more will be identified in the future. In the practice of the present invention, it is intended to include reuptake inhibitors which show 50% effective concentrations of about 1000 nM or less, in the protocol described by Wong *supra*. Serotonin reuptake inhibitors include, but are not limited to:

                    Fluoxetine, N-methyl-3-(p-trifluoromethoxyphenoxy)-3-phenylpropylamine,  
15                   is marketed in the hydrochloride salt form, and as the racemic mixture of its two enantiomers.

                    U.S. Patent 4,314,081 is an early reference on the compound. Robertson et al., J. Med. Chem. 31, 1412 (1988), taught the separation of the R and S enantiomers of fluoxetine and showed that their activity as serotonin uptake inhibitors is similar to each other. In  
20                   this document, the word "fluoxetine" will be used to mean any acid addition salt or the free base, and to include either the racemic mixture or either of the R and S enantiomers;

                    Duloxetine, N-methyl-3-(1-naphthalenyloxy)-3-(2-thienyl)propanamine, is usually administered as the hydrochloride salt and as the (+) enantiomer. It was first taught by U.S. Patent 4,956,388, which shows its high potency. The word "duloxetine"  
25                   will be used here to refer to any acid addition salt or the free base of the molecule;

                    Venlafaxine is known in the literature, and its method of synthesis and its activity as an inhibitor of serotonin and norepinephrine uptake are taught by U.S. Patent 4,761,501. Venlafaxine is identified as compound A in that patent;

                    Milnacipran (N,N-diethyl-2-aminomethyl-1-phenylcyclopropanecarboxamide) is taught by U.S. Patent 4,478,836, which prepared milnacipran as its Example 4. The patent describes its compounds as antidepressants.  
30                   Moret et al., Neuropharmacology 24, 1211-19 (1985), describe its pharmacological activities as an inhibitor of serotonin and norepinephrine reuptake;

                    Citalopram, 1-[3-(dimethylamino)propyl]-1-(4-fluorophenyl)-1,3-dihydro-5-isobenzofurancarbonitrile, is disclosed in U.S. Patent 4,136,193 as a serotonin reuptake inhibitor. Its pharmacology was disclosed by Christensen et al., Eur. J. Pharmacol. 41, 153  
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(1977), and reports of its clinical effectiveness in depression may be found in Dufour et al., Int. Clin. Psychopharmacol. 2, 225 (1987), and Timmerman et al., *ibid.*, 239;

Fluvoxamine, 5-methoxy-1-[4-(trifluoromethyl)phenyl]-1-pentanone O-(2-aminoethyl)oxime, is taught by U.S. Patent 4,085,225. Scientific articles about the drug have been published by Claassen et al., Brit. J. Pharmacol. 60, 505 (1977); and De Wilde et al., J. Affective Disord. 4, 249 (1982); and Benfield et al., Drugs 32, 313 (1986);

Paroxetine, trans-(-)-3-[(1,3-benzodioxol-5-yl)oxy)methyl]-4-(4-fluorophenyl)piperidine, may be found in U.S. Patents 3,912,743 and 4,007,196. Reports of the drug's activity are in Lassen, Eur. J. Pharmacol. 47, 351 (1978); Hassan et al., Brit. J. Clin. Pharmacol. 19, 705 (1985); Laursen et al., Acta Psychiat. Scand. 71, 249 (1985); and Battegay et al., Neuropsychobiology 13, 31 (1985); and

Sertraline, (1S-cis)-4-(3,4-dichlorophenyl)-1,2,3,4-tetrahydro-N-methyl-1-naphthylamine hydrochloride, is a serotonin reuptake inhibitor which is marketed as an antidepressant. It is disclosed by U.S. Patent 4,536,518.

All of the U.S. patents which have been mentioned above in connection with compounds used in the present invention are incorporated herein by reference.

It will be understood that while the use of a single atypical antipsychotic as a first component compound is preferred, combinations of two or more atypical antipsychotics may be used as a first component if necessary or desired. Similarly, while the use of a single serotonin reuptake inhibitor as a second component compound is preferred, combinations of two or more serotonin reuptake inhibitors may be used as a second component if necessary or desired.

While all combinations of first and second component compounds are useful and valuable, certain combinations are particularly valued and are preferred, as follows:

olanzapine/fluoxetine  
olanzapine/venlafaxine  
olanzapine/citalopram  
olanzapine/fluvoxamine  
olanzapine/paroxetine  
olanzapine/sertraline  
olanzapine/milnacipran  
olanzapine/duloxetine  
clozapine/fluoxetine  
risperidone/fluoxetine  
sertindole/fluoxetine  
quetiapine/fluoxetine

## ziprasidone/fluoxetine

- In general, combinations and methods of treatment using olanzapine as the first component are preferred. Furthermore, combinations and methods of treatment using fluoxetine as the second component are preferred. Especially preferred are combinations and methods of treatment using olanzapine as the first component and fluoxetine as the second component.

It is especially preferred that when the first component is olanzapine, it will be the Form II olanzapine polymorph having a typical x-ray powder diffraction pattern as represented by the following interplanar spacings:

10

d  
10.2689  
8.577  
7.4721  
7.125  
6.1459  
6.071  
5.4849  
5.2181  
5.1251  
4.9874  
4.7665  
4.7158  
4.4787  
4.3307  
4.2294  
4.141  
3.9873  
3.7206  
3.5645  
3.5366  
3.3828  
3.2516  
3.134  
3.0848  
3.0638  
3.0111



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**d**

2.8739

2.8102

2.7217

2.6432

2.6007

A typical example of an x-ray diffraction pattern for Form II is as follows wherein  $d$  represents the interplanar spacing and  $I/I_1$  represents the typical relative intensities:

<b>d</b>	<b><math>I/I_1</math></b>
10.2689	100.00
8.577	7.96
7.4721	1.41
7.125	6.50
6.1459	3.12
6.071	5.12
5.4849	0.52
5.2181	6.86
5.1251	2.47
4.9874	7.41
4.7665	4.03
4.7158	6.80
4.4787	14.72
4.3307	1.48
4.2294	23.19
4.141	11.28
3.9873	9.01
3.7206	14.04
3.5645	2.27
3.5366	4.85
3.3828	3.47
3.2516	1.25
3.134	0.81
3.0848	0.45
3.0638	1.34

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d	I/I <sub>1</sub>
3.0111	3.51
2.8739	0.79
2.8102	1.47
2.7217	0.20
2.6432	1.26
2.6007	0.77

The x-ray diffraction patterns set out herein were obtained using a Siemens D5000 x-ray powder diffractometer having a copper K<sub>a</sub> radiation source of wavelength,  $\lambda = 1.541 \text{ \AA}$ .

5 It is further preferred that the Form II olanzapine polymorph will be administered as the substantially pure Form II olanzapine polymorph.

As used herein "substantially pure" refers to Form II associated with less than about 5% Form I, preferably less than about 2% Form I, and more preferably less than about 1% Form I. Further, "substantially pure" Form II will contain less than about  
10 0.5% related substances, wherein "related substances" refers to undesired chemical impurities or residual solvent or water. In particular, "substantially pure" Form II should contain less than about 0.05% content of acetonitrile, more preferably, less than about 0.005% content of acetonitrile. Additionally, the polymorph of the invention should contain less than 0.5% of associated water.

15 The polymorph obtainable by the process taught in the '382 patent will be designated as Form I and has a typical x-ray powder diffraction pattern substantially as follows, obtained using a Siemens D5000 x-ray powder diffractometer, wherein d represents the interplanar spacing:

d
9.9463
8.5579
8.2445
6.8862
6.3787
6.2439
5.5895
5.3055
4.9815

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**d**

4.8333

4.7255

4.6286

4.533

4.4624

4.2915

4.2346

4.0855

3.8254

3.7489

3.6983

3.5817

3.5064

3.3392

3.2806

3.2138

3.1118

3.0507

2.948

2.8172

2.7589

2.6597

2.6336

2.5956

A typical example of an x-ray diffraction pattern for Form I is as follows wherein d represents the interplanar spacing and  $I/I_1$  represents the typical relative intensities:

5

<b>d</b>	<b><math>I/I_1</math></b>
9.9463	100.00
8.5579	15.18
8.2445	1.96
6.8862	14.73
6.3787	4.25

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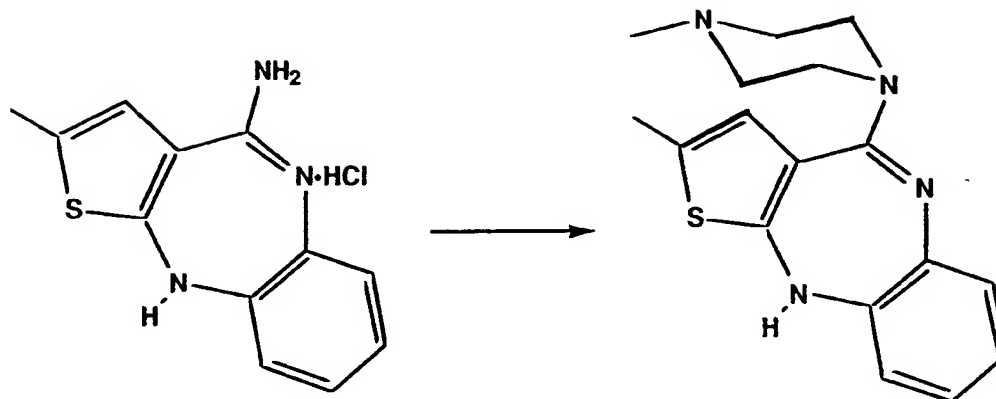
d	I/I <sub>1</sub>
6.2439	5.21
5.5895	1.10
5.3055	0.95
4.9815	6.14
4.8333	68.37
4.7255	21.88
4.6286	3.82
4.533	17.83
4.4624	5.02
4.2915	9.19
4.2346	18.88
4.0855	17.29
3.8254	6.49
3.7489	10.64
3.6983	14.65
3.5817	3.04
3.5064	9.23
3.3392	4.67
3.2806	1.96
3.2138	2.52
3.1118	4.81
3.0507	1.96
2.948	2.40
2.8172	2.89
2.7589	2.27
2.6597	1.86
2.6336	1.10
2.5956	1.73

The x-ray powder diffraction patterns herein were obtained with a copper K<sub>a</sub> of wavelength  $\lambda = 1.541 \text{ \AA}$ . The interplanar spacings in the column marked "d" are in Angstroms. The typical relative intensities are in the column marked "I/I<sub>1</sub>".

- 5            Though Form II olanzapine is preferred it will be understood that as used herein, the term "olanzapine" embraces all solvate and polymorphic forms unless specifically indicated.

**Preparation 1**

## Technical Grade olanzapine



5                    Intermediate 1

In a suitable three neck flask the following was added:

Dimethylsulfoxide (analytical):                    6 volumes  
10    Intermediate 1                                        75 g  
N-Methylpiperazine (reagent) :                    6 equivalents  
Intermediate 1 can be prepared using methods known to the skilled artisan. For example, the preparation of the Intermediate 1 is taught in the above-referenced '382 patent.

15    A sub-surface nitrogen sparge line was added to remove the ammonia formed during the reaction. The reaction was heated to 120°C and maintained at that temperature throughout the duration of the reaction. The reactions were followed by HPLC until = 5% of the intermediate 1 was left unreacted. After the reaction was complete, the mixture was allowed to cool slowly to 20°C (about 2 hours). The reaction mixture was then  
20    transferred to an appropriate three neck round bottom flask and water bath. To this solution with agitation was added 10 volumes reagent grade methanol and the reaction was stirred at 20°C for 30 minutes. Three volumes of water was added slowly over about 30 minutes. The reaction slurry was cooled to zero to 5°C and stirred for 30 minutes. The product was filtered and the wet cake was washed with chilled methanol. The wet  
25    cake was dried in vacuo at 45°C overnight. The product was identified as technical olanzapine.

Yield: 76.7%; Potency: 98.1%

### Preparation 2

#### Form II olanzapine polymorph

5                   A 270 g sample of technical grade 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine was suspended in anhydrous ethyl acetate (2.7 L). The mixture was heated to 76°C and maintained at 76°C for 30 minutes. The mixture was allowed to cool to 25°C. The resulting product was isolated using vacuum filtration. The product was identified as Form II using x-ray powder analysis.

10   Yield: 197 g.

                  The process described above for preparing Form II provides a pharmaceutically elegant product having potency  $\geq 97\%$ , total related substances  $< 0.5\%$  and an isolated yield of  $> 73\%$ .

                  It will be understood by the skilled reader that most or all of the  
15   compounds used in the present invention are capable of forming salts, and that the salt forms of pharmaceuticals are commonly used, often because they are more readily crystallized and purified than are the free bases. In all cases, the use of the pharmaceuticals described above as salts is contemplated in the description herein, and often is preferred, and the pharmaceutically acceptable salts of all of the compounds are  
20   included in the names of them.

                  Many of the compounds used in this invention are amines, and accordingly react with any of a number of inorganic and organic acids to form pharmaceutically acceptable acid addition salts. Since some of the free amines of the compounds of this invention are typically oils at room temperature, it is preferable to convert the free amines  
25   to their pharmaceutically acceptable acid addition salts for ease of handling and administration, since the latter are routinely solid at room temperature. Acids commonly employed to form such salts are inorganic acids such as hydrochloric acid, hydrobromic acid, hydroiodic acid, sulfuric acid, phosphoric acid, and the like, and organic acids, such as p-toluenesulfonic acid, methanesulfonic acid, oxalic acid, p-bromophenylsulfonic acid, carbonic acid, succinic acid, citric acid, benzoic acid, acetic acid and the like. Examples of  
30   such pharmaceutically acceptable salts thus are the sulfate, pyrosulfate, bisulfate, sulfite, bisulfite, phosphate, monohydrogenphosphate, dihydrogenphosphate, metaphosphate, pyrophosphate, chloride, bromide, iodide, acetate, propionate, decanoate, caprylate, acrylate, formate, isobutyrate, caproate, heptanoate, propiolate, oxalate, malonate, succinate, suberate, sebacate, fumarate, maleate, butyne-1,4-dioate, hexyne-1,6-dioate,  
35   benzoate, chlorobenzoate, methylbenzoate, dinitrobenzoate, hydroxybenzoate, methoxybenzoate, phthalate, sulfonate, xylenesulfonate, phenylacetate, phenylpropionate,

phenylbutyrate, citrate, lactate, b-hydroxybutyrate, glycollate, tartrate, methanesulfonate, propanesulfonate, naphthalene-1-sulfonate, naphthalene-2-sulfonate, mandelate and the like. Preferred pharmaceutically acceptable salts are those formed with hydrochloric acid, oxalic acid or fumaric acid.

5

### Administration

The dosages of the drugs used in the present invention must, in the final analysis, be set by the physician in charge of the case, using knowledge of the drugs, the properties of the drugs in combination as determined in clinical trials, and the characteristics of the patient, including diseases other than that for which the physician is treating the patient. General outlines of the dosages, and some preferred dosages, can and will be provided here. Dosage guidelines for some of the drugs will first be given separately; in order to create a guideline for any desired combination, one would choose the guidelines for each of the component drugs.

15                   Olanzapine: from about 0.25 to 100 mg, once/day; preferred, from 1 to 30 mg, once/day; and most preferably 1 to 25 mg once/day;

                  Clozapine: from about 12.5 to 900 mg daily; preferred, from about 150 to 450 mg daily;

20                   Risperidone: from about 0.25 to 16 mg daily; preferred from about 2-8 mg daily;

                  Sertindole: from about .0001 to 1.0 mg/kg daily;

                  Quetiapine: from about 1.0 to 40 mg/kg given once daily or in divided doses;

25                   Ziprasidone: from about 5 to 500 mg daily; preferred from about 50 to 100 mg daily;

                  Fluoxetine: from about 1 to about 80 mg, once/day; preferred, from about 10 to about 40 mg once/day; preferred for bulimia and obsessive-compulsive disease, from about 20 to about 80 mg once/day;

30                   Duloxetine: from about 1 to about 160 mg once/day (or up to 80 mg twice daily); preferred, from about 5 to about 20 mg once/day;

                  Venlafaxine: from about 10 to about 150 mg once-thrice/day; preferred, from about 25 to about 125 mg thrice/day;

                  Milnacipran: from about 10 to about 100 mg once-twice/day; preferred, from about 25 to about 50 mg twice/day;

35                   Citalopram: from about 5 to about 50 mg once/day; preferred, from about 10 to about 30 mg once/day;

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Fluvoxamine: from about 20 to about 500 mg once/day; preferred, from about 50 to about 300 mg once/day;

Paroxetine: from about 20 to about 50 mg once/day; preferred, from about 20 to about 30 mg once/day.

5 Sertraline: from about 20 to about 500 mg once/day; preferred, from about 50 to about 200 mg once/day;

In more general terms, one would create a combination of the present invention by choosing a dosage of first and second component compounds according to the spirit of the above guideline.

10 Preferred ratios of olanzapine: fluoxetine by weight include:

1/5

6/25

12.5/25

25/50

15 17.5/50

25/75

The adjunctive therapy of the present invention is carried out by administering a first component together with the second component in any manner which provides effective levels of the compounds in the body at the same time. All of the  
20 compounds concerned are orally available and are normally administered orally, and so oral administration of the adjunctive combination is preferred. They may be administered together, in a single dosage form, or may be administered separately.

However, oral administration is not the only route or even the only preferred route. For example, transdermal administration may be very desirable for  
25 patients who are forgetful or petulant about taking oral medicine. One of the drugs may be administered by one route, such as oral, and the others may be administered by the transdermal, percutaneous, intravenous, intramuscular, intranasal or intrarectal route, in particular circumstances. The route of administration may be varied in any way, limited by the physical properties of the drugs and the convenience of the patient and the caregiver.

30 The adjunctive combination may be administered as a single pharmaceutical composition, and so pharmaceutical compositions incorporating both compounds are important embodiments of the present invention. Such compositions may take any physical form which is pharmaceutically acceptable, but orally usable pharmaceutical compositions are particularly preferred. Such adjunctive pharmaceutical compositions  
35 contain an effective amount of each of the compounds, which effective amount is related to the daily dose of the compounds to be administered. Each adjunctive dosage unit may contain the daily doses of all compounds, or may contain a fraction of the daily doses, such



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as one-third of the doses. Alternatively, each dosage unit may contain the entire dose of one of the compounds, and a fraction of the dose of the other compounds. In such case, the patient would daily take one of the combination dosage units, and one or more units containing only the other compounds. The amounts of each drug to be contained in each dosage unit depends on the identity of the drugs chosen for the therapy, and other factors such as the indication for which the adjunctive therapy is being given.

The inert ingredients and manner of formulation of the adjunctive pharmaceutical compositions are conventional, except for the presence of the combination of the present invention. The usual methods of formulation used in pharmaceutical science may be used here. All of the usual types of compositions may be used, including tablets, chewable tablets, capsules, solutions, parenteral solutions, intranasal sprays or powders, troches, suppositories, transdermal patches and suspensions. In general, compositions contain from about 0.5% to about 50% of the compounds in total, depending on the desired doses and the type of composition to be used. The amount of the compounds, however, is best defined as the effective amount, that is, the amount of each compound which provides the desired dose to the patient in need of such treatment. The activity of the adjunctive combinations do not depend on the nature of the composition, so the compositions are chosen and formulated solely for convenience and economy. Any of the combinations may be formulated in any desired form of composition. Some discussion of different compositions will be provided, followed by some typical formulations.

Capsules are prepared by mixing the compound with a suitable diluent and filling the proper amount of the mixture in capsules. The usual diluents include inert powdered substances such as starch of many different kinds, powdered cellulose, especially crystalline and microcrystalline cellulose, sugars such as fructose, mannitol and sucrose, grain flours and similar edible powders.

Tablets are prepared by direct compression, by wet granulation, or by dry granulation. Their formulations usually incorporate diluents, binders, lubricants and disintegrators as well as the compound. Typical diluents include, for example, various types of starch, lactose, mannitol, kaolin, calcium phosphate or sulfate, inorganic salts such as sodium chloride and powdered sugar. Powdered cellulose derivatives are also useful. Typical tablet binders are substances such as starch, gelatin and sugars such as lactose, fructose, glucose and the like. Natural and synthetic gums are also convenient, including acacia, alginates, methylcellulose, polyvinylpyrrolidone and the like. Polyethylene glycol, ethylcellulose and waxes can also serve as binders.

A lubricant is necessary in a tablet formulation to prevent the tablet and punches from sticking in the die. The lubricant is chosen from such slippery solids as talc, magnesium and calcium stearate, stearic acid and hydrogenated vegetable oils.

Tablet disintegrators are substances which swell when wetted to break up the tablet and release the compound. They include starches, clays, celluloses, algin and gums. More particularly, corn and potato starches, methylcellulose, agar, bentonite, wood cellulose, powdered natural sponge, cation-exchange resins, alginic acid, guar gum, citrus pulp and carboxymethylcellulose, for example, may be used, as well as sodium lauryl sulfate.

Enteric formulations are often used to protect an active ingredient from the strongly acid contents of the stomach. Such formulations are created by coating a solid dosage form with a film of a polymer which is insoluble in acid environments, and soluble in basic environments. Exemplary films are cellulose acetate phthalate, polyvinyl acetate phthalate, hydroxypropyl methylcellulose phthalate and hydroxypropyl methylcellulose acetate succinate. It is preferred to formulate duloxetine and duloxetine-containing combinations as enteric compositions, and even more preferred to formulate them as enteric pellets.

A preferred duloxetine enteric formulation is a pellet formulation comprising a) a core consisting of duloxetine and a pharmaceutically acceptable excipient; b) an optional separating layer; c) an enteric layer comprising hydroxypropylmethylcellulose acetate succinate (HPMCAS) and a pharmaceutically acceptable excipient; d) an optional finishing layer. This enteric formulation is described in U.S. Patent No. 5,508,276, herein incorporated by reference in its entirety.

Tablets are often coated with sugar as a flavor and sealant. The compounds may also be formulated as chewable tablets, by using large amounts of pleasant-tasting substances such as mannitol in the formulation, as is now well-established practice. Instantly dissolving tablet-like formulations are also now frequently used to assure that the patient consumes the dosage form, and to avoid the difficulty in swallowing solid objects that bothers some patients.

When it is desired to administer the combination as a suppository, the usual bases may be used. Cocoa butter is a traditional suppository base, which may be modified by addition of waxes to raise its melting point slightly. Water-miscible suppository bases comprising, particularly, polyethylene glycols of various molecular weights are in wide use, also.

Transdermal patches have become popular recently. Typically they comprise a resinous composition in which the drugs will dissolve, or partially dissolve, which is held in contact with the skin by a film which protects the composition. Many patents have appeared in the field recently. Other, more complicated patch compositions are also in use, particularly those having a membrane pierced with innumerable pores through which the drugs are pumped by osmotic action.

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The following typical formulae are provided for the interest and information of the pharmaceutical scientist.

Formulation 1

Hard gelatin capsules are prepared using the following ingredients:

5

	Quantity (mg/capsule)
Olanzapine	25 mg
10 Fluoxetine, racemic, hydrochloride	20
Starch, dried	150
Magnesium stearate	<u>10</u>
Total	210 mg

15

Formulation 2

A tablet is prepared using the ingredients below:

	Quantity (mg/capsule)
20 Olanzapine	10
Fluoxetine, racemic, hydrochloride	10
Cellulose, microcrystalline	275
Silicon dioxide, fumed	10
25 Stearic acid	<u>5</u>
Total	310 mg

The components are blended and compressed to form tablets each weighing 465 mg.

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Formulation 3

An aerosol solution is prepared containing the following components:

	<u>Weight</u>
5    Risperidone	5 mg
(+)-Duloxetine, hydrochloride	10
Ethanol	25.75
Propellant 22	
(Chlorodifluoromethane)	<u>60.00</u>
10    Total	100.75 mg

15        The active compound is mixed with ethanol and the mixture added to a portion of the propellant 22, cooled to -30°C and transferred to a filling device. The required amount is then fed to a stainless steel container and diluted with the remainder of the propellant. The valve units are then fitted to the container.

Formulation 4

Tablets, each containing 80 mg of active ingredient, are made as follows:

20    Sertindole	60 mg
(+)-Duloxetine, hydrochloride	20 mg
Starch	30 mg
Microcrystalline cellulose	20 mg
Polyvinylpyrrolidone	
25        (as 10% solution in water)	4 mg
Sodium carboxymethyl starch	4.5 mg
Magnesium stearate	0.5 mg
Talc	<u>1 mg</u>
Total	140 mg

30

35        The active ingredient, starch and cellulose are passed through a No. 45 mesh U.S. sieve and mixed thoroughly. The aqueous solution containing polyvinylpyrrolidone is mixed with the resultant powder, and the mixture then is passed through a No. 14 mesh U.S. sieve. The granules so produced are dried at 50°C and passed through a No. 18 mesh U.S. Sieve. The sodium carboxymethyl starch, magnesium stearate and talc, previously passed through a No. 60 mesh U.S. sieve, are then added to the granules

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which, after mixing, are compressed on a tablet machine to yield tablets each weighing 170 mg.

Formulation 5

5 Capsules, each containing 130 mg of active ingredient, are made as follows:

	Quetiapine	70 mg
	Fluoxetine, racemic, hydrochloride	30 mg
	Starch	39 mg
10	Microcrystalline cellulose	39 mg
	Magnesium stearate	<u>2 mg</u>
	Total	180 mg

The active ingredient, cellulose, starch, and magnesium stearate are  
15 blended, passed through a No. 45 mesh U.S. sieve, and filled into hard gelatin capsules in 250 mg quantities.

Formulation 6

Suppositories, each containing 45 mg of active ingredient, are made as  
20 follows:

	Ziprasidone	75 mg
	(+)-Duloxetine, hydrochloride	5 mg
	Saturated fatty acid glycerides	<u>2,000 mg</u>
25	Total	2,080 mg

The active ingredient is passed through a No. 60 mesh U.S. sieve and  
suspended in the saturated fatty acid glycerides previously melted using the minimum heat  
necessary. The mixture is then poured into a suppository mold of nominal 2 g capacity and  
30 allowed to cool.

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Formulation 7

Suspensions, each containing 70 mg of active ingredient per 5 ml dose, are made as follows:

5	Olanzapine	20 mg
	Sertraline	100 mg
	Sodium carboxymethyl cellulose	50 mg
	Syrup	1.25 ml
	Benzoic acid solution	0.10 ml
10	Flavor	q.v.
	Color	q.v.
	Purified water to total	5 ml

The active ingredient is passed through a No. 45 mesh U.S. sieve and mixed with the sodium carboxymethyl cellulose and syrup to form a smooth paste. The benzoic acid solution, flavor and color are diluted with a portion of the water and added, with stirring. Sufficient water is then added to produce the required volume.

Formulation 8

An intravenous formulation may be prepared as follows:

	Olanzapine	20 mg
	Paroxetine	25 mg
	Isotonic saline	1,000 ml

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Benefit of the Invention

The present invention provides the advantage of treatment of partial responding or refractory depression with the atypical antipsychotics without the concomitant weight gain typically observed with such treatment, conferring a marked and unexpected benefit on the patient. The present invention furthermore provides a potentiation of the increase in the concentration of dopamine and/or norepinephrine observed as an effect of administration of a first component compound, by administration of a second component compound.

Moreover, the release of DA in the prefrontal cortex, either coupled with the antagonism of 5-HT<sub>2</sub> and/or 5-HT<sub>3</sub> receptors, would be expected to attenuate the disturbances in sexual function commonly associated with antidepressants such as the SSRIs. In addition, common SSRI-associated side effects such as nausea, vomiting,

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diarrhea, insomnia, weight change, headache, and or activation would be expected to be reduced in frequency/intensity based on the pharmacology of this novel combination.

#### Microdialysis assays of monoamines

5                   Sprague-Dawley rats (Harlan or Charles River) weighing 270-300 grams are surgically implanted with microdialysis probes under chloral hydrate/pentobarbital anesthesia (170 and 36 mg/kg i.p. in 30% propylene glycol, 14% ethanol) (Perry and Fuller, Effect of fluoxetine on serotonin and dopamine concentration in rat hypothalamus after administration of fluoxetine plus L-5-hydroxytryptophan, Life Sci., 50, 1683-90  
10 (1992)). A David Kopf stereotaxic instrument is used to implant the probe unilaterally in the hypothalamus at coordinates rostral -1.5 mm, lateral -1.3 mm, and ventral -9.0 mm (Paxinos and Watson, 1986). After a 48 hour recovery period, rats are placed in a large plastic bowl with a mounted liquid swivel system (CMA/120 system for freely moving animals, Bioanalytical Systems, West Lafayette, IN). Filtered artificial cerebrospinal fluid  
15 (CSF) (150 mM NaCl, 3.0 mM KCl, 1.7 mM CaCl<sub>2</sub>, and 0.9 mM MgCl<sub>2</sub>) is perfused through the probe at a rate of 1.0 ml/min. The output dialysate line is fitted to a tenport HPLC valve with a 20 ml loop. At the end of each 30 minute sampling period, dialysate collected in the loop is injected on an analytical column (Spherisorb 3 m ODS2, 2X150 mm, Keystone Scientific).

20                   The method used to measure monoamines is as described by Perry and Fuller (1992). Briefly, dialysate collected in the 20 ml loop is assayed for 5-HT, NE and DA. The 20 ml injection goes onto the column with a mobile phase which resolves NE, DA, and 5-HT: 75 mM potassium acetate, 0.5 mM ethylenediaminetetraacetic acid, 1.4 mM sodium octanesulfonic acid and 8% methanol, pH 4.9. The mobile phase for the amine  
25 column is delivered with a flow programmable pump at an initial flow rate of 0.2 ml/min increasing to 0.3 ml/min at 5 min then decreasing back to 0.2 ml/min at 26 min with a total run time of 30 min. Flow programming is used to elute the 5-HT within a 25 min time period. The electrochemical detector (EG&G, Model 400) for the amine column is set at a potential of 400 mV and a sensitivity of 0.2 nA/V. Basal levels are measured for at least  
30 90 minutes prior to drug administration. The drugs are prepared in filtered deionized water (volume 0.25-0.3 ml) for administration at the desired doses.

#### Clinical Trials

35                   The efficacy of the methods of the present invention in treating refractory depression or providing a more rapid onset of treatment of depression was shown in clinical trials. In one such study, an 8-week, double blind trial, 28 patients diagnosed with

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treatment-resistant major depression were randomized to one of three treatment arms: (1) fluoxetine (20-60 mg/day) and placebo; (2) olanzapine (5-20 mg/day) and placebo; or (3) fluoxetine plus olanzapine (20-60 mg/day and 5-20 mg/day, respectively). The efficacy of the treatment was monitored using the HAMD-21 (Hamilton M. Journal of Neurology, Neurosurgery & Psychiatry. 1960;23:56-62, and Hamilton M. Development of a rating scale for primary depressive illness. British Journal of Social and Clinical Psychology. 1967;6:278-296); Montgomery-Asberg Depression Rating Scale (MADRS) (Montgomery SA, Asberg M. A new depression scale designed to be sensitive to change. British Journal of Psychiatry. 1979;134:382-389); and the Clinical Global Impression (CGI) - Severity of Depression rating scale (Guy, W. ECDEU Assessment Manual for Psychopharmacology. Revised ed. US Dept. of Health, Education and Welfare, Bethesda, MD. 1976.) The olanzapine plus fluoxetine group experienced a greater improvement on both the HAMD-21 and MADRS total scores than either of the monotherapy groups. Superior rates of response (i.e., 50% or greater improvement from baseline) were also seen with this combination. Similar results were obtained using the CGI scale.

The antidepressant effect of olanzapine plus fluoxetine was evident within seven days of beginning the therapy. This is significantly earlier than is generally seen with a monotherapy using a serotonin uptake inhibitor alone, with no evidence of significant adverse interaction between the antipsychotic and the serotonin reuptake inhibitor.



We claim:

- 5 1. A method for treating a patient suffering from or susceptible to treatment resistant major depression, comprising administering to said patient an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor.
- 10 2. A method for treating a patient partially responding to treatment of major depression comprising administering to said patient an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor.
- 15 3. A method for attenuating the adverse events associated with treating a patient suffering from or susceptible to treatment resistant major depression, or partially responding to treatment of major depression, comprising administering to said patient an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor.
- 20 4. A method of any one of **Claims 1 to 3** where the first component is chosen from the group consisting of olanzapine, clozapine, risperidone, sertindole, quetiapine, and ziprasidone; and the second component is selected from the group consisting of fluoxetine, venlafaxine, citalopram, fluvoxamine, paroxetine, sertraline, milnacipran and duloxetine.
- 25 5. A method of any one of **Claims 1 to 3** wherein the first component compound is olanzapine.
- 30 6. A method of **Claim 4** wherein the second component compound is fluoxetine.
7. A method of any one of **Claims 1 to 3** where administration of the compounds is oral.
- 35 8. A method of **Claim 3** where the adverse event is selected from the group consisting of weight changes, sexual dysfunction, nausea, vomiting, diarrhea, insomnia, headache and activation.

9. A method of any one of Claims 1, 2, 3, 5 or 6 wherein the ratio of olanzapine to fluoxetine by weight is selected from the group consisting of 1/5, 6/25, 12.5/25, 25/50, 17.5/50 and 25/75.

5

10. The use of an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor, for the manufacture of a medicament for the treatment of treatment resistant major depression.

10

11. The use of an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor, for the manufacture of a medicament for the treatment of partial response to treatment of major depression.

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12. The use of an effective amount of a first component which is an atypical antipsychotic, in combination with an effective amount of a second component which is a serotonin reuptake inhibitor, for the manufacture of a medicament for attenuating the adverse events associated with treatment of major depression, treatment resistant major depression or partial response to treatment of major depression.

20

13. A pharmaceutical composition adapted for the treatment of a patient suffering from or susceptible to treatment resistant major depression or partially responding to treatment for major depression, or attenuating the adverse effects associated with treatment of major depression, treatment resistant major depression or partial response to treatment of major depression, comprising as the active ingredients a combination of an atypical antipsychotic and a serotonin reuptake inhibitor.

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/11276

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : A61K 31/55, 31/505, 31/445, 31/34, 31/15, 31/135

US CL : 514/220, 258, 323, 321, 469, 640, 649, 657

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/220, 258, 323, 321, 469, 640, 649, 657

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,500,443 A (LAVIELLE et al) 19 March 1996, col. 1, lines 45-47.	1-13
Y	BUDAVARI, S., Ed. The Merck Index - 11th Edition. Rahway, New Jersey: Merck & Co., Inc., 1989, page 655, see entry number 4112, entitled "Fluoxetine".	1-13
Y	Database DRUGU on STN, Derwent Information Ltd., No. 97-45747, MILLER, D. D. et al. 'Effect of Antipsychotics on regional cerebral blood flow measured with positron emission tomography,' abstract, Neuropsychopharmacology, 17(4), 230-240, 1997, see entire abstract.	1-13

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*a* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

29 JULY 1999

Date of mailing of the international search report

13 SEP 1999

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/11276

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Database Medline on STN, US National Library of Medicine (Bethesda, MD, USA), No. 98170902, WEISLER, R. H. et al. 'Adjunctive use of olanzapine in mood disorders: five case reports,' abstract, Annals of Clinical Psychiatry, 9(4), 259-262, December 1997, see entire abstract.	1-13
Y	Database DRUGU on STN, Derwent Information Ltd., No. 97-44995, DEVANE, C. L. et al. 'Fluvoxamine-induced theophylline toxicity,' abstract, American Journal of Psychiatry, 154(9), 1317-1318, 1997, see entire abstract.	1-13

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/11276

## B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, STN (REG, CA, BIOSIS, MEDLINE, DRUGU, EMBASE)

search terms: depression, antidepressant, serotonin reuptake inhibitor, antipsychotic, olanzapine, clozapine, risperidone, sertindole, quetiapine, ziprasidone, fluoxetine, venlafaxine, citalopram, fluvoxamine, paroxetine, sertraline, milnacipran, duloxetine